

05/24/01
JC997 U.S. PTO

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PTO/SB/05 (11-00)
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	7733.D2
First Inventor	Marcia A. Wise
Title	Anti-Clotting Methods and Apparatus for Indwelling Catheter Tubes
Express Mail Label No.	EL811844526US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status. See 37 CFR 1.27. **Assignee is no longer a small entity.**
- ☒ Specification [Total Pages **27**]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
- Oath or Declaration [Total Pages **2**]
 - ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
 - ☒ (for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s)) (COPY)
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. **Parent PTO-892 and**
- ☒ Other: **Declaration of Dr. Holman**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09, 648,718**
Prior application information: Examiner **Kevin C. Sirmons** Group Art Unit: **3763**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label **(Insert Customer No. or Attach bar code label here)** or ☐ Correspondence address below

Name	Lynn G. Foster				
Address	Foster & Foster L.L.C. 602 East 300 South				
City	Salt Lake City	State	Utah	Zip Code	84102
Country	USA	Telephone	801-364-5633	Fax	801-355-8988

Name (Print/Type)	Lynn G. Foster	Registration No. (Attorney/Agent)	21,189
Signature	<i>Lynn G. Foster</i>	Date	24 May 2001

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 790.00

Complete if Known

Application Number	
Filing Date	24 May 2001
First Named Inventor	Marcia A. Wise
Examiner Name	Kevin C. Sirmons
Group Art Unit	3763
Attorney Docket No.	7733.D2

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 06-1620
Deposit Account Name: Foster & Foster

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	710.
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$ 710.)

2. EXTRA CLAIM FEES

Total Claims: 4
Independent Claims: 4
Multiple Dependent: 0
Extra Claims: 0
Fee from below: 80
Fee Paid: 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 80.)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type)	Lynn G. Foster	Registration No. (Attorney/Agent)	21,189	Telephone	801-364-5633
Signature		Date	4 May 2001		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
)
MARCIA A. WISE ET AL.) Docket: 7733.D2
)
Serial No.:) Probable
) Art Unit: 3763
Filed: May 24, 2001)
(By Express Mail)) Probable
) Examiner: KEVIN C. SIRMONS
A Division of)
Serial No. 09/648,718)
)
Which was Filed: August 25, 2000)
)
For: ANTI-CLOTTING METHODS AND)
APPARATUS FOR INDWELLING)
CATHETER TUBES)

CERTIFICATION OF FILING BY EXPRESS MAIL

"EXPRESS MAIL" mailing label number: EL811844526US

DATE OF DEPOSIT: May 24, 2001

I hereby certify that the attached complete patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.


LYNN G. FOSTER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

MARCIA A. WISE, ET AL.

Serial No.: 09/648,718

Filed: August 25, 2000

For: ANTI-CLOTING METHODS AND
APPARATUS FOR INDWELLING
CATHETER TUBES

)
)
) Docket: 7733.D1

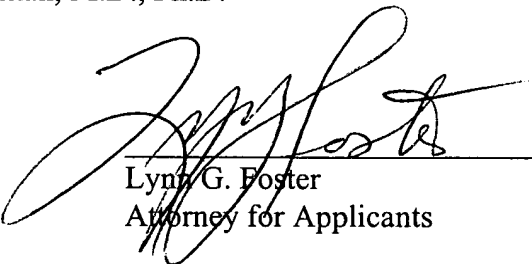
) Art Unit: 3763

) Examiner: KEVIN C. SIRMONS
)
)

CERTIFICATION OF SERVICE BY FACSIMILE TRANSMISSION

I hereby certify that the following was transmitted by facsimile to Examiner Kevin C. Sirmons at (703) 305-3590 on 1 March 2001:

1. Declaration of John M. Holman, M.D., Ph.D.


Lynn G. Foster

Attorney for Applicants

602 East 300 South
Salt Lake City, Utah 84102
(801) 364-5633

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FOR "034950"

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TELECOMMUNICATION COVER LETTER

DATE: 1 March 2001

TO: EXAMINER KEVIN C. SIRMONS

COMPANY: UNITED STATES PATENT AND TRADEMARK OFFICE

FAX: (703) 305-3590

FROM: Lynn G. Foster
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OF COUNSEL:
 LYNN G. FOSTER

Other Bar Memberships Include:
 Arizona, California, Michigan, and Washington

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TELECOMMUNICATION COVER LETTER

DATE: 1 March 2001
 TO: EXAMINER KEVIN C. SIRMONS
 COMPANY: UNITED STATES PATENT AND TRADEMARK OFFICE
 FAX: (703) 305-3590
 FROM: Lynn G. Foster
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